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| (REV | 5-93) TR/ | ANSMITTAL LI | ETTER TO | O THE UNITED STATE | ES | | 065691-0262 |
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| - | C | ONCERNING | A FILING | UNDER 35 U.S.C. 371 | 1 110 | ADDITION | |
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| | | NAL APPLICATIO | N NO. | INTERNATIONAL FILING DAT | re PR | RIORIT | Y DATE CLAIMED 10 1999 |
| | PCT/FR0 | 0/01574 VENTION | | June 08, 2000 | | June | 10 1999 |
| | Promoter | Which Allows Tran | | ession in the Entire Plant Excep | ot the S | eed | |
| | Dantanani. | (S) FOR DO/EO/US | LEDINIEC a | and Michel CABOCHE | | | |
| App | Bertranu licant her | ewith submits to th | e United Stat | es Designated/Elected Office (| (DO/EO | D/US) tl | ne following items and other information: |
| 1. | \boxtimes | This is a FIRST su | ubmission of | items concerning a filing under | · 35 U.S | S.C. 37 | 1. |
| 2. | | This is a SECOND | O or SUBSEC | QUENT submission of items co | ncernin | ng a filir | ng under 35 U.S.C. 371. |
| 3. | | This express requestamination until | ıest to begin ı the expiratior | national examination procedure n of the applicable time limit set | es (35 L t in 35 L | U.S.C. : U.S.C. : | 371(f)) at any time rather than delay 371(b) and PCT Articles 22 and 39(1). |
| 4. | | A proper Demand priority date. | I for Internation | onal Preliminary Examination w | /as mac | de by th | ne 19 th month from the earliest claimed |
| 5. | \boxtimes | is transmitt has been to | ted herewith (ransmitted by | olication as filed (35 U.S.C. 371 (required only if not transmitted y the International Bureau. pplication was filed in the Unite | l by the | | |
| 6. | \boxtimes | _ | | al Application into English (35 l | | | |
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| | | have been | transmitted b | by the International Bureau. | - auch | amono. | tmanta has NOT ovnirad |
| | | | | owever, the time limit for makin nd will not be made. | ig such | amend | aments has NOT expired. |
| | | | | nts to the claims under PCT Art | iolo 10 | /35 H 9 | S C 371(c)(3)) |
| 8. | | | | | | (55 5.0 | 3.0. 31 (G)(G)). |
| 9. | \boxtimes | | | ventor(s) (35 U.S.C. 371(c)(4)) | | | |
| 10. | | A translation of the 371(c)(5)). | e annexes to | the International Preliminary E | Examina | ation R | eport under PCT Article 36 (35 U.S.C. |
| 11. | | Applicant claims | small entity | status under 37 CFR 1.27. | , | | |
| Iten | ns 12. to 1 | | | ent(s) or information included: | | | l de la companya de |
| 12. | | | | tement under 37 CFR 1.97 and | | | |
| 13. | \boxtimes | An assignment do | ocument for r | ecording. A separate cover sh | eet in c | omplia | nce with 37 CFR 3.28 and 3.31 is included. |
| 14. | | A FIRST prelimina A SECOND or SU | | ent. ⁻ prelim <u>i</u> nary amendment. | | | |
| 15. | □ . | A substitute speci | ification. | | | | |
| 16. | | A change of power | er of attorney | and/or address letter. | | | |
| 17. | \boxtimes | Other items or info | ormation: Co | py of Verification of a Translation | on, Pap | oer Cop | y of Sequence Listing, Application Data Sheet |

JC07 Rec'd PCT/PTO 1 0 DEC 2001

| U.S. APPLICATION NO (I Unassigned | f known, see 37 C F-R 1 | 79 | 3 4 ONTERNATION | ROO/ | APPLICATION N | O. | | ATTORNEY'S DOCKET 065691-0262 | NÜMBER | |
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| (37 CFR 1.48 | 82) | | on fee paid to USI | | | | \$710.00 | | | |
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10/09340

Application Data Sheet

Application Information

Application number:: Unassigned

Filing Date:: 12/10/2001

Application Type:: Regular

Subject Matter:: Utility

Suggested classification::

Suggested Group Art Unit::

CD-ROM or CD-R?:: None

Computer Readable Form (CRF)?:: No

Title:: Promoter Which Allows Transgene

Expression in the Entire Plant Except the

Seed

Attorney Docket Number:: 065691-0262

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure:: 1

Total Drawing Sheets:: 3

Small Entity?:: No

Petition included?:: No

Licensed US Govt. Agency::

Contract or Grant Numbers One::

Secrecy Order in Parent Appl.?:: No

Applicant Information

Applicant Authority Type:: Inventor

JC07 Rec d PCT/PTO 1 0 DEC 2001 1 0 / 0 0 9 3 4 0

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عي سده

Status:: Full Capacity

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Country of mailing address:: France

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JC07 Rec'd PCT/PTO 1 0 DEC 2001

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E-Mail address:: Smaebius@foleylaw.com

Representative Information

| Representative Customer | 22428 | |
|-------------------------|-------|--|
| Number:: | | |

Domestic Priority Information

| Application:: | Continuity Type:: | Parent | Parent Filing |
|------------------|-------------------|----------------|---------------|
| | | Application:: | Date:: |
| This Application | National Stage of | PCT/FR00/01574 | 06/08/2000 |

Foreign Priority Information

| Country:: | Application number:: | Filing Date:: | Priority Claimed:: |
|-----------|----------------------|---------------|--------------------|
| France | 99 07362 | 06/10/1999 | Yes |

Assignee Information

Assignee name:: Institut National De La Recherche

Agronomique

JC07 Rec'd PCT/PTO 3140 OEC 2001

Atty. Dkt. No. 065691/0262

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Bertra

Bertrand Dubreucq et al.

Entitled:

PROMOTER WHICH ALLOWS TRANSGENE EXPRESSION IN THE

ENTIRE EXCEPT THE SEED

Serial No.:

To be assigned

Date Filed:

Concurrently

PRELIMINARY AMENDMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to examination of the present application, Applicants respectfully request that the above-identified application be amended as follows:

In the Claims:

In accordance with 37 C.F.R. § 1.121(c) (3), please substitute for pending claims 6, 7, 12-15, 17, 18, 23, and 24 with the following clean version of the claims. The changes to these claims are shown explicitly in the attached "Marked Up Version of Claims."

- 6. (Amended) The use of a sequence as claimed in claim 1, for identifying fragments of the sequence SEQ ID No. 1 which allows the expression of a gene of interest in the tissues of a plant, except in the maturing seed and in the dry seed.
- 7. (Amended) An expression cassette, characterized in that it comprises a sequence of interest fused to a sequence comprising a promoter sequence as claimed in claim 1.
 - 12. (Amended) A vector comprising an expression cassette as claimed in claim 7.

- 13. (Amended) A plant cell transformed with a cassette as claimed in claim 7 or a vector comprising an expression cassette comprising a sequence of interest fused to a sequence comprising a promoter sequence.
- 14. (Amended) A plant transformation kit comprising a cassette as claimed in claim 7 or a vector comprising an expression cassette comprising a sequence of interest fused to a sequence comprising a promoter sequence.
- 15. (Amended) A method for preparing transgenic plants in which a gene of interest is expressed in all the tissues except in the maturing seed and in the dry seed, characterized in that it comprises the following steps:
 - a) transferring a cassette as claimed in claim 7 or a vector comprising an expression cassette comprising a sequence of interest fused to a sequence comprising a promoter sequence into plant cells,
 - b) culturing the transformed cells obtained in step a) so as to obtain said transgenic plants.
- 17. (Amended) The method as claimed in claim 15, characterized in that the transfer is carried out using Agrobacterium, preferably Agrobacterium.tumefaciens.
- 18. (Amended) A transgenic plant which can be obtained by carrying out the method as claimed in claim 15.
- 23. (Amended) The plant as claimed in claim 18, characterized in that it is chosen in particular from rapeseed, crucifers, maize, soybean, wheat, sunflower, pea, ornamental plants, and trees.
- 24. (Amended) A seed obtained from a transgenic plant as claimed in claim 18, characterized in that it does not contain the product of expression of the transgene.

Atty. Dkt. No. 065691/0262

REMARKS

Applicant respectfully requests that the foregoing amendments be made prior to examination of the present application.

Respectfully submitted,

Date December 10, 2001

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Facsimile: (202) 672-5399 E-mail: Smaebius@foleylaw.com Stephen B. Maebius Attorney for Applicant Registration No. 35,264

Atty. Dkt. No. 065691/0262

MARKED UP VERSION OF AMENDED CLAIMS

- 6. (Amended) The use of a sequence as claimed in [one of claims 1 to 3 and 5] claim 1, for identifying fragments of the sequence SEQ ID No. 1 which allows the expression of a gene of interest in the tissues of a plant, except in the maturing seed and in the dry seed.
- 7. (Amended) An expression cassette, characterized in that it comprises a sequence of interest fused to a sequence comprising a promoter sequence as claimed in [one of claims 1 to 3 and 5] claim 1.
- 12. (Amended) A vector comprising an expression cassette as claimed in [one of claims 7 to 10] claim 7.
- 13. (Amended) A plant cell transformed with a cassette as claimed in [one of claims 7 to 10] claim 7 or a vector [as claimed in claim 12] comprising an expression cassette comprising a sequence of interest fused to a sequence comprising a promoter sequence.
- 14. (Amended) A plant transformation kit comprising a cassette as claimed in [one of claims 7 to 10] <u>claim 7</u> or a vector [as claimed in claim 12] <u>comprising an expression cassette comprising a sequence of interest fused to a sequence comprising a promoter sequence.</u>
- 15. (Amended) A method for preparing transgenic plants in which a gene of interest is expressed in all the tissues except in the maturing seed and in the dry seed, characterized in that it comprises the following steps:
 - a) transferring a cassette as claimed in [one of claims 7 to 10] <u>claim 7</u> or a vector [as claimed in claim 12] <u>comprising an expression cassette comprising a sequence of interest fused to a sequence comprising a promoter sequence into plant cells,</u>

Atty. Dkt. No. 065691/0262

- b) culturing the transformed cells obtained in step a) so as to obtain said transgenic plants.
- 17. (Amended) The method as claimed in [either of claims 15 and 16] <u>claim 15</u>, characterized in that the transfer is carried out using Agrobacterium, preferably Agrobacterium.tumefaciens.
- 18. (Amended) A transgenic plant which can be obtained by carrying out the method as claimed in [one of claims 15 to 17] <u>claim 15</u>.
- 23. (Amended) The plant as claimed in [one of claims 18 to 22] <u>claim 18</u>, characterized in that it is chosen in particular from rapeseed, crucifers, maize, soybean, wheat, sunflower, pea, ornamental plants, and trees.
- 24. (Amended) A seed obtained from a transgenic plant as claimed in [one of claims 18 to 23] claim 18, characterized in that it does not contain the product of expression of the transgene.

SEQUENCE LISTING

1

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35 40 45

Phe Leu Thr Leu Thr Val Trp Trp Ala Val Pro Val Ile Trp Leu Pro 50 55 60

Val Val Trp Cys Ile Ser Arg Ser Val Ser Met Gly Cys Ser Leu 65 70 75 80

Pro Glu Ile Val Pro Ile Val Val Met Gly Ile Phe Ile Trp Thr Phe 85 90 95

Phe Glu Tyr Val Leu His Arg Phe Val Phe His Ile Lys Thr Lys Ser

Tyr Trp Gly Asn Thr Ala His Tyr Leu Ile His Gly Cys His His Lys 115 120 125

His Pro Met Asp His Leu Arg Leu Val Phe Pro Pro Thr Ala Thr Ala 130 135 140

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Tyr Asp Val Thr His Tyr Tyr Leu His His Ala Gln Pro Thr Arg Pro 180 185 190

Val Thr Lys Asn Leu Lys Lys Tyr His Leu Asn His His Phe Arg Ile 195 200 205

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3/PATS

WO 00/77223

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PCT/FR00/01574

PROMOTER WHICH ALLOWS TRANSGENE EXPRESSION IN THE ENTIRE PLANT EXCEPT IN THE SEED

The present invention relates to the isolation and characterization of a promoter which allows transgene expression in the adult plant, for the purposes of improving the development of the plant, without the product of this transgene being present in the mature and dry seed. The invention also relates to the transgenic plants comprising a gene of interest fused to said promoter sequence.

Molecular biology techniques currently make it possible to modify the genetic inheritance of plants in order to change the components thereof which control production, quality or health. The specificity of expression of the transgenes introduced is essentially based on the use or plants sequences from promoter of microorganisms. The search for specific promoters is therefore of vital importance for plant biotechnology. Seeds constitute an important component of agriculture, as actual seeds, but also in the food industry or the transformation industry. In this respect, the presence of new proteins and products in the seed may pose problems. It therefore appears to be advantageous to have a promoter which is active in all the vegetative tissues but ineffective in the seeds.

The characteristics of the seed will depend on the interactions between the maturation, under the control 30 specific genetic program, and environmental conditions which condition, to a large degree, the subsequent production. However, the mechanisms which regulate these phenomena are, for the most part, still therefore, a exists, There understood. 35 advantage in maintaining good seed batch quality. Now, of transgenic plants development problems, in particular related to the expression of

heterologous genes in the seeds of said plants. Specifically, the presence of proteins or of polypeptides in the seeds may have harmful consequences on their ability to germinate or on their quality. In addition, while the population is becoming increasingly used to the idea that edible plants may be genetically modified, edible seeds containing the product of transgenes may not be easily accepted.

- 10 Thus, the objective which is the basis of the present invention is to identify a promoter which would allow strong expression of a transgene in all the tissues of the plants except in the seed.
- To this effect, promoter trapping, a powerful tool for 15 processes (Topping developmental dissecting Lindsey, 1995, for review), has been carried out. This of is based on the use а vector strategy transforming plants, which has, at one of its ends, a reporter gene (most commonly GUS or GFP) without a 20 promoter. If the insertion occurs in a coding region and if the sequence of the reporter gene is in frame, translational fusion between will be endogenous protein and the protein of the marker gene. strategies have a major advantage trapping 25 compared to conventional insertional mutagenesis since the phenotype (expression of the GUS reporter gene) is dominant. This dominance of the phenotype (GUS) makes follow mutated alleles the possible to This is very advantageous heterozygous state. 30 studying mutations which are lethal in the homozygous makes it possible to approach also This characterize a gene by its expression.
- It has been found, while accomplishing the present invention, that insertion of a reporter gene into the gene encoding a protein of the fatty acid hydroxylase (FAH) type of Arabidopsis leads to expression in all the tissues of the plant except in the seed. This type

of promoter is of great value for biotechnological applications. It makes it possible to express a protein of interest as soon as impregnation occurs in all the tissues of the plant, with a high level of expression, except in the seed. It is therefore possible, for example, to protect the plant against many biotic or abiotic stresses without modifying the content of its seed. It is also possible to express an antisense sequence directed against a target gene in all the tissues except in the seed.

Description

Thus, the present invention relates to a promoter sequence which allows the expression of a gene of interest in the tissues of a plant except in the maturing seed and in the dry seed, said sequence comprising a sequence having at least 80% identity with the sequence, or a portion of the sequence, of the promoter of the Arabidopsis FAH gene.

Preferably, this sequence comprises a sequence having at least 80% identity with the sequence, or a portion of the sequence, SEQ ID No. 1.

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identity" is intended to mean the The **"**% percentage of identical nucleotides, which can easily calculated by those skilled in the art using a sequence comparison computer program, such 2.5 for Windows; Hitachi DNASIS program (Version Software Engineering Co., Ltd, South San Francisco, CA), using the standard parameters described in the manual, incorporated into the manufacturer's description by way of reference.

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In this context, the sequences and the percentage identities may also be obtained using internet computer sources. Mention may be made of the Blast program (WWW.ncbi.nlm.nih.gov) and the FastDB program with the

following parameters: Mismatch penalty 1.00; Gap Penalty 1.00; Gap Size Penalty 0.33; joining penalty 30.0. These algorithms are given in Current Methods in Sequencing and Synthesis Methods and Applications, pages 127-149, 1988, Ala R. Liss, Inc., incorporated into the description by way of reference.

The sequences having 80% identity may also be defined as being sequences which hybridize to the sequence SEQ ID No. 1 with high stringency conditions. These conditions are given in Sambrook et al., Molecular Cloning A Laboratory Manual (Cold Spring Harbor Press, 1989) in paragraphs 11.1 to 11.61, incorporated into the description by way of reference.

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Advantageously, the sequence according to the invention has the sequence, or a portion of the sequence, SEQ ID No. 1 below:

5' cagctgtagcatcttgatattgctgatactcagccacaagatcgttcatgttactc tctgcttcattaaactccatctcgtccattccttcttctgtgtaccaatgcaagaaag cttatctcaacatcaggctgatataaccaatatcttacttcttttacatttgtgaaat ggaaccaacccatttttctggaaaaagtgctaaccaaacatttgattaaccgtatcac tactactttcatttctatcttctgtttcattatgctgactatttaagctccgttgtcaaatctctaagttagacataaaagacaaagactaatcaattgtcatcacaccagcgtcg tcgagtgagctatattaatcgtggattttaagcattaaagaaacattctatagtactaaagcaaataaataattataatcaaacactatgcttgacactggtcacgtgtactggt agtgaatgattctacatcataagaggccgcatcaaaatcctaaaaataagcataatga attaatcatttacaaattttatttactcaataagaaaatcgaaagtatgattattat $\verb|ctagctgccacaatcttcgaatttaatatttactcaagaagagaccgactttaatcct|\\$ tgactttctcattgctctatggaaaatgattaaagcagtcaataaaatcttttgacat tgttggcagaagaccaataattcgaagtctaaaatgtaatcgtccacacagtgtatga atgttgtttatttgtggcaacgtacaaaattgggaatcctataagtgcgacgacaagt gacaagacgaggctatgaacagctaatgtatgaagagagccaaaagagcaacaacctg gcacag-3'.

The invention also relates to the use of a portion of the sequence SEQ ID No. 1, for identifying fragments capable of promoting the expression of a gene of interest in a plant except in the seed. It is thus possible to define the minimum region of the sequence of the promoter of the FAH gene for ensuring effective expression. In this sense, the promoter may be modified adding sequences such as enhancers, and/or by deleting nonessential and/or undesired regions. The comprise synthetic and/or promoter may natural sequences.

The invention relates to a method for isolating and characterizing the promoter of the FAH gene in plants, comprising the following steps:

- using a primer comprising a sequence having at least 80% identity with a sequence containing at least 10 consecutive nucleotides of the sequence SEQ ID No. 5 or a complementary sequence, or a 20 primer which hybridizes under high stringency conditions to any coding sequence for SEQ ID No. 4 or a sequence having at least 80% identity with a least 10 sequence containing at consecutive nucleotides of the genomic sequence of the FAH gene of Arabidopsis, accessible under the number 25 a complementary or sequence, isolating and/or amplifying the sequence upstream of the 5' end of the FAH gene,
- b) cloning and sequencing of the sequence obtained instep a).

SEQ ID No. 5 corresponds to the coding sequence of the FAH gene of Arabidopsis:

35 DEFINITION: complete cDNA of Arabidopsis thaliana fatty acid hydroxylase Fahlp (FAH1)

ACCESSION: AF021804

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ORGANISM: Arabidopsis thaliana, Eukaryota; Viridiplantae; Streptophyta; Embryophyta; Tracheophyta; - 6 -

Euphyllophytes; Spermatophyta; Magnoliophyta; Eudicotyledons; Rosidae; Brassicales; Brassicaceae.

Reference: Mitchell, A.G. and Martin, C.E, (1997). Fahlp, a saccharomyces cerevisiae cytochrome b5 fusion protein, and its arabidopsis thaliana homolog that lacks the cytochrome b5 domain both function in the alpha-hydroxylation of sphingolipid-associated very long chain fatty acids; J. Biol. Chem. 272 (45), 28281-28288 MEDLINE 98019193

- 1 atggitgete agggatteae tgtggatett aaaaageeee ttgtattiea ggttggteat
- 61 cttggagaag attatgagga atgggttcac caacctatcg cgaccaagga aggccctcgg
- 121 ttttttcaga gigactttig ggagttciig acacttacag tiiggiggge agttecigic
- 181 atttggttgc cagttgtagt ctggtgcata tcaaggtcag taagtatggg atgttcactt
- 241 ccagaaateg teccaattgt tgteatggga atatteatet ggaeattttt tgaataegtt
- 301 cttcaccggt tcgttttcca cataaaaacg aagagttact ggggaaacac tgcacactat
- 361 cttaticacg gatgecatea taageaceeg atggaceace tieggetegt citteeteet
- 421 actgeaactg egattitatg etticegite tggaacattg egaaggetat etcaacteet
- 481 teaaccgeae etgeattgtt tegtegagge atgeteggat atgtgateta egatgteaet
- 541 cattattacc ticaccatge ecaacctact agaccagtga ecaaaaatet caagaagtac
- 601 cattigaate ateacticag gatteaggae aaaggattig giataaette giegitaigg
- 661 gacatagtet ttgggacaet teccaceaea aaageeeeea gaaaagagea atag

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also possible to use a primer comprising a sequence having at least 80% identity with a sequence 10 consecutive nucleotides having at least genomic sequence of the Arabidopsis FAH gene (introns and exons) which is accessible to those skilled in the art under the number AC003096, a primer or hybridizes, under high stringency conditions, SEQ No. following the for coding sequence (Arabidopsis thaliana, fatty acid hydroxylase Fahlp):

MVAQGFTVDLKKPLVFQVGHLGEDYEEWVHQPIATKEGPRFFQSDFWEFLTL
TVWWAVPVIWLPVVVWCISRSVSMGCSLPEIVPIVVMGIFIWTFFEYVLHRFVF
HIKTKSYWGNTAHYLIHGCHHKHPMDHLRLVFPPTATAILCFPFWNIAKAISTP
STAPALFGGGMLGYVMYDVTHYYLHHAQPTRPVTKNLKKYHLNHHFRIQDK
GFGITSSLWDIVFGTLPTTKAPRKEQ

Thus, the promoter sequence which allows expression of a gene of interest in the tissues of a plant, except in the maturing seed and in the dry seed, may also be characterized in that it comprises a sequence which has at least 80% identity with the sequence, or a portion of the sequence, of the promoter of the FAH gene, and which can be obtained using the method described above.

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Another aspect of the invention relates ŧο an expression cassette which comprises a sequence of interest fused to a sequence comprising a promoter sequence as defined above. Said sequence of interest may encode an RNA, a protein or a polypeptide which protects the plant against a biotic or abiotic stress.

The cassette may allow the cosuppression 20 expression of a gene, characterized in that sequence of interest encodes a protein or polypeptide capable of substituting the function of an endogenous protein or polypeptide. The sequence of interest may also encode an antisense sequence directed against a 25 target gene. This makes it possible, in coupling with the ectopic overexpression of a gene of interest in the seeds, or preventing expression of this gene in other tissues, the antisense not being expressed in the seeds. This proves to be most useful when the desire is 30 overexpress a protein in the seeds without disturbing the development of other tissues of the plant.

The cassette according to the invention may also comprise a selection marker gene, a leader sequence which controls the transit, the secretion or the targeting of the expression product, in various organelles, a transcription termination signal sequence and a translation termination signal sequence.

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In the context of the invention, the term "gene of interest" or "transgene" is intended to mean a gene in particular selected from the genes encoding a protein 10 or a polypeptide which protects the plant against a biotic or abiotic stress, the disturbing genes encoding a product capable of substituting for and/or inhibiting the function or the expression of an endogenous mRNA, protein or polypeptide. Mention may be made, 15 the genes encoding ribozymes example, of endogenous mRNAs, and genes, the transcription product of which is at least in part complementary to an endogenous target mRNA (EP 240 208, incorporated into the description by way of reference). Mention may also 20 be made of genes, the transcription product of which is identical or similar to the transcripts of endogenous genes, which are capable of inhibiting by cosuppression the expression of said endogenous genes (Napoli C. et al., 1990, The Plant Cell, 2, 279-289 mentioned in the 25 description by way of reference). Of course, the gene the invention may encode an to according involved in metabolism, so as to produce or promote the particular in of metabolites, biosynthesis metabolites which are useful for the human or animal 30 diet or which may affect development. The promoter sequence according to the invention may induce the expression of a foreign gene and be used in various types of plant. The term "foreign gene" or "transgene" is also understood to define any coding or noncoding 35 polypeptide, antisense, (protein, DNA of region catalytic RNA, viroid, etc.). A protein of interest for the development and production of the plant may be produced constitutively in all the organs of the plant

using this promoter, without the composition of the seed being effected. The proteins of interest are, without this being an exhaustive list, those which allow better protection of the plant against

- against pathogens, stresses: protection biotic nematodes, parasites fungi, insects, bacteria, ravages, protection against intracellular pathogens and viruses, in particular those which are not transmitted by the seeds;
- abiotic stresses: protection against heat and cold, 10 frost, water-related stresses such as drought or the pollution (ozone, anoxia, opposite, stresses, beating light photoinhibition and phytoremediation or nutritional stresses caused by a deficiency or excess of a nutrient element (in 15 particular a saline stress).

Any gene of interest may therefore be placed under the isolated promoter sequence. of the expression in plants, this gene may also comprise 3' nontranscribed sequences containing polyadenylation signals which are active in plants. These sequences may, for example, be those encoding the 3' transcribed, untranslated portion of the cauliflower mosaic virus 35S RNA gene (CaMV 35S) or the 3' untranslated region 25 of the gene encoding the nopaline synthase (NOS) of the Agrobacterium tumefaciens Ti plasmid.

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The gene of interest according to the invention may also be a gene which controls development, such as for 30 example a gene involved in hormone metabolism, signal transduction or in the control of the cell cycle.

Another aspect of the invention relates to a vector, in 35 particular a plasmid vector, comprising an expression cassette as defined above.

A subject of the invention is also a plant cell transformed with the cassette or with a vector comprising said cassette, and a plant transformation kit comprising said cassette or said vector.

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preparation, the chimeric gene plasmid expression cassette construction, the DNA restriction the transformation and endonuclease, of transformations are carried confirmation according to standard protocols (Sambrook et al. 1989, Molecular Cloning Manual Cold Spring Harbor Laboratory, incorporated into the description by way of reference).

The construction of the vectors which can be used for the transformation experiments forms part of the known molecular biology techniques carried out routinely in this field of use.

An additional aspect of the invention relates to a method for preparing transgenic plants in which a gene of interest is expressed in all the tissues except in the maturing seed and in the dry seed, characterized in that it comprises the following steps:

- a) transferring a cassette or a vector according to the invention into plant cells,
 - b) culturing the transformed cells obtained in stepa) so as to obtain said transgenic plants.

The DNA may be transferred into the plant cells, in particular the cells of the albumen or the totipotent cells derived from immature embryos, using standard techniques (Plant Cell Report, 10, 595, 1992), in particular by transfer via Agrobacterium (Plant J., 1994, 6, 271), by electroporation (Nature, 1987, 327, 70) or laserporation (Barley Genetics, 1991, VI, 231), with polyethylene glycol, or using the "particle gun" biolistic method (Nature 1987, 327, 70). In general, for the vectors for transformation via an agrobacterium (infiltration in planta Bechtold et al. 1993), the

transformation vectors carry selection markers, T-DNA borders, cloning sites, replication functions and other elements so necessary for good transgene transfer (Bouchez et al. 1993). The publications mentioned above are incorporated into the description by way of references.

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A subject of the present invention is also a transgenic plant which can be obtained by carrying out the method mentioned above.

The expression "plant which can be obtained" is intended to mean any plant expressing a transgene in its tissues except in the mature and dry seeds, said plant containing a promoter according to the invention.

The plants obtained by any equivalent method leading to the same results are also a subject of the invention. The list of plants in which this promoter sequence may be used includes more particularly the plants which are useful for any industry. Mention may be made, for example, of rapeseed, crucifers, maize, soybean, wheat, sunflower, pea, ornamental plants and trees.

Thus, the invention relates to a plant, as defined above, which expresses in its tissues, except in the seeds, a gene, the product of which (RNA or protein) protects the plant against a biotic or abiotic stress, an antisense sequence directed against a target gene, a protein or polypeptide capable of substituting for the function of an endogenous protein or polypeptide, or a coding sequence for a protein involved in metabolite biosynthesis or a gene which controls development, such as for example a gene involved in hormone metabolism, in signal transduction or in the control of the cell cycle. The plant according to the invention may also express a protein of interest under the control of a promoter other than the promoter of the FAH gene and an antisense sequence capable of inhibiting the expression of said protein of interest under the control of the

promoter of the FAH gene, such that the gene of interest is expressed only in the seeds.

The seeds obtained from a transgenic plant according to the invention, which therefore do not contain the product of expression of the transgene, are targeted by the present invention, as is their use in any industry.

For the remainder of the description, reference will be made to the legends of the figures presented below.

Legends

Figure 1: Intron/exon structure of the mRNA of the FAH

15 gene

The rectangles with stripes represent the introns. The scale is given on the figure. T29F13 is a bac and TAI234 is a cDNA.

20 Figure 2: Structure of the [lacuna] region of the FAH gene

PFAH upper and A1 represent the primers used to sequence the promoter.

The rectangles with the stripes represent the 5' transcribed, untranslated portion.

The scale is given on the figure.

Figure 3: Map of the pBI 101 plasmid

Map of the pBI101 plasmid containing the pFAH promoter 30 used.

Example 1: Cloning of the promoter

Materials and methods

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Isolation of the promoter region of FAH

The method used for the extraction of Arabidopsis genomic DNA is based on that described by Doyle and Doyle (1990). The principle is based on the detergent

cetyltrimethylammonium bromide (CTAB; properties of USA) which allow the specific Sigma Chemical Co., polysaccharide and denaturation of protein macromolecules. Approximately 2 g of plant material (plantlets cultivated in vitro, 1 to 2 weeks old) are finely ground in liquid nitrogen and transferred into a 50 ml tube of the FALCON type (Costar, USA), containing 7.5 ml of extraction buffer preheated to 65°C. extraction is carried out at 65°C for 30 minutes, with regular stirring. The proteins denatured by the etamercaptoethanol and the CTAB in the buffer are then extracted in one volume of chloroform, followed by elimination after centrifugation (4430 g, 10 min). The nucleic acids in the supernatant are precipitated with one volume of isopropanol in the presence of 3M sodium acetate (1/10, v/v), centrifuged (7900 g, 10 min) and then rinsed with 70% ethanol. The pellet is taken up in $100 \mu l$ of water tube in Eppendorf ribonucleic acids are eliminated by adding 3 μl of Rnase A at 10 mg/ml (Sigma Chemical Co., USA). The DNA is deproteinized and then again precipitated with absolute ethanol. After centrifugation in an Eppendorf tube, the pellet is washed, dried, taken up in 50 to 100 μ l of water and stored at -20°C before analyses.

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Amplification of the genomic DNA

using PCR is amplified promoter sequence technology, which is a known technique (Sambrook et al. 1989). The primers corresponding to the 5'(upper) and 3'(lower) parts of the promoter sequence were derived from the genomic sequence of BAC T29F13 (AC003096) (see figure 1). Genomic DNA from a wild-type line (Ler) was promoter amplifying the matrix for the used component. The amplification reactions were carried out on a thermocycler (MJ Research PTC100-96), in 0.2 ml tubes (Prolabo) containing the following mixture: 1 μ l (10 ng) DNA, 2 μ l 10 x buffer (BRL), 2 μ l 25 mM $MgCl_2$, 0.8 μ l 5 mM dNTP, 1 μ l primer 1 (10 $pmol/\mu$ l),

1 μ l primer 2 (10 pmol/ μ l), 0.5 μ l (1U) Taq DNA polymerase (5U/ μ l) and H₂O qs for 20 μ l. upper (5'-3'): TTCATGTTACTCTCTGCTTC (SEQ ID No. 2) lower (5'-3') GGAAAGGAAACAAATACGGATTC (SEQ ID No. 3)

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Bacterial transformation

The genotypes of bacteria used for carrying out the experiments are:

- 10 E. Coli strain DH12S (ϕ 80, dlaZ Δ M15 mcrA Δ (mrr-hsdRMS-mcrBC) araD139 Δ (ara,leu)7697 Δ lacX74 galU galK rpsL deoR nupG recAl/F'proAB+lacIq Z Δ M15).

 Agrobacterium tumefaciens pmp90C58CE
- The bacteria (E.coli strain DH12S) are transformed with 15 a recombined plasmid by electroporation (Potter, 1993). ligation reaction are mixed, $2 \mu l$ of the in electroporation cuvette (1 ml, width 0.1 cm), with 50 µl of thawed bacteria and kept in ice. The cuvette is then placed in an electroporator (Gene Pulser II 20 System: BIO-RAD, FRANCE) and a voltage of 1.25 kV is applied for a period of time which depends on the resistance (200 Ω) and on the capacity (25 μF) of the circuit. One ml of SOC medium is added to promote the growth of the bacteria and the entire mixture is 25 incubated in a 10 ml tube for 2 hours at 37°C, with rotary shaking (220 rpm). The transformed bacteria are then plated out onto dishes containing solid LB medium appropriate antibiotic, the supplemented with incubated at 37°C overnight. The bacteria transformed 30 with the recombined pMeca plasmid are selected with 0.04 mg/ml of ampicillin in the presence of 0.2 mg/ml of X-Gal and of 0.05 mg/ml of IPTG. For the other recombined plasmids, the bacteria are selected on an LB medium with the appropriate antibiotic at a final 35 concentration of 0.04 mg/ml.

β -Glucuronidase activity

For the seeds, they are sowed onto a double thickness of Whatman 1M paper of 4.7 cm (Maidstone, England) soaked with 2 ml of sterile water. After soaking for 48h in a dish saturated with water, the seeds are scraped off and placed in an Eppendorf tube to which 5 100 μ l of infiltration buffer (100 mM of phosphate buffer, pH 7.2, 10 mM EDTA, 0.1% v/v Triton X100), supplemented with X-Gluc (5-bromo-4-chloro-3-indoly1- β -D-glucuronic acid) are added. The X-Gluc is dissolved in DMF (dimethylformamide) at a stock concentration: 10 mg/100 μ l). The infiltration buffer (10 supplemented at 1/100th extemporaneously with the X-Gluc stock. For the other tissues, the samples are placed directly in the infiltration buffer and the coloration is then produced according to the same protocol. 15 The infiltration is carried out under vacuum (in a

vacuum bell jar):

- the vacuum is broken twice.
- the vacuum is maintained for 1 hour, and the samples are then placed at 37°C overnight. 20

Results

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Preliminary analyses indicated that an enzyme involved in lipid metabolism (fatty acid hydroxylase: FAH) may have an expression corresponding to promoter having the desired characteristics.

The sequence of the gene in question was obtained by virtue of the sequences originating from the systematic sequencing of the Arabidopsis thaliana genome, and is expressed sequence BACT29F13. An on identified in the databases (EST TAI234) was appears to correspond to a full length sequence of the identification 5′ allowed of the This FAH mRNA. of and sequence transcribed untranslated anticipated positioning of the promoter sequence. intron/exon structure was deduced, at the level of the transcribed, untranslated portion, from the alignment of the BAC with EST TAI234 (figure 1).

The promoter was amplified by PCR using the primer pFAH/upper and the primer A1, placed in the transcribed/untranslated portion (figure 2). A study of that the amplified sequence showed contains a putative TATA box at -100 bp from the presumed transcription initiation site (according to the full length cDNA) and a CCAAT box at -190 bp from 10 this same transcription. The amplified PCR fragment (932 bp) was cloned into a pGEM-T vector (PROMEGA) sequenced, and then introduced into a binary vector (pBI101, Clontech) containing a GUS reporter without a promoter (figure 3). This construct was then 15 transformation in planta, introduced by Agrobacterium, into wild-type plants (ecotype Ws). Thirteen primary transformants were obtained, which were tested for their GUS activity during their 20 development.

Example 2: Expression of the reporter gene under control of the promoter of the FAH gene

- 25 In the embryo, the expression is strong from 20 hours after the start of soaking. During development, the expression is strong in all the tissues, with a certain preference for the vascular tissues.
- These results demonstrate that the isolated promoter sequence indeed confers a very specific expression profile on the reporter gene used (GUS). The promoter is active throughout the development of the plant, in all the tissues tested (leaves, flowers, stems, roots, etc.) except in the seed undergoing maturation (see Table I below).

Table I: Expression profile for the GUS reporter gene

| | Coty- | Adult | Roots | Flower | Siliqua | Germin- | Dry |
|---|--------|-------|-------|--------|---------|---------|------|
| | ledons | leaf | | | | ating | seed |
| | | | | | | seeds | s |
| 1 | ++ | +++ | ++ | ++ | +++ | +++ | |
| 2 | +++ | +++ | ++ | ++ | +++ | +++ | |
| 3 | +++ | +++ | ++ | ++ | nd | ++ | |
| 4 | +++ | +++ | ++ | ++ | nd | ++ | - |
| 5 | +++ | +++ | ++ | ++ | nd | +++ | - |
| 6 | + | +++ | ++ | ++ | nd | +++ | _ |
| 7 | +++ | +++ | ++ | ++ | +++ | + | _ |
| 8 | ++ | +++ | ++ | ++ | +++ | +++ | _ |
| 9 | +++ | +++ | ++ | ++ | +++ | ++ | |

The expression of the marker confirms the functionality of the promoter and its specificity. This type of promoter is therefore of very great value for biotechnological applications, such as the expression of an anti-insect toxin (Bt type) in plants and the expression of any transgene making it possible to improve, quantitatively or qualitatively, the development and growth of the plant, without the protein encoded by the transgene being present in the seed.

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Doyle J.J. and Doyle J.L. (1990). Isolation of plant DNA from fresh tissue. Focus; 12: 13-15.

Sambrook J., Fritsch E. F., and Maniatis T. (1989); Cold Spring Harbor Laboratory, Cold Spring Harbor N.Y.

- 19 -

CLAIMS

1. A promoter sequence which allows the expression of a gene of interest in the tissues of a plant, except in the maturing seed and in the dry seed, characterized in that it comprises a sequence having at least 80% identity with the sequence, or a portion of the sequence, of the promoter of the Arabidopsis FAH gene.

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2. The sequence as claimed in claim 1, characterized in that it comprises a sequence having at least 80% identity with the sequence, or a portion of the sequence, SEQ ID No. 1.

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- 3. The sequence as claimed in claim 2, characterized in that it comprises the sequence, or a portion of the sequence, SEQ ID No. 1.
- 20 4. A method for isolating and characterizing the promoter of the FAH gene in plants, comprising the following steps:
- using a primer comprising a sequence having at a) least 80% identity with a sequence containing at least 10 consecutive nucleotides of the sequence 25 SEO ID No. 5 or a complementary sequence, or a primer which hybridizes under high stringency conditions to any coding sequence for SEQ ID No. 4 or a sequence having at least 80% identity with a sequence containing at 10 consecutive least 30 nucleotides of the genomic sequence of the FAH gene of Arabidopsis, accessible under the number a complementary sequence, or

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b) cloning and sequencing of the sequence obtained in step a).

of the 5' end of the FAH gene,

isolating and/or amplifying the sequence upstream

5. A promoter sequence which allows the expression of a gene of interest in the tissues of a plant, except in the maturing seed and in the dry seed, characterized in that it comprises a sequence which has at least 80% identity with the sequence, or a portion of the sequence, of the promoter of the FAH gene, and which can be obtained using the method as claimed in claim 4.

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- 10 6. The use of a sequence as claimed in one of claims 1 to 3 and 5, for identifying fragments of the sequence SEQ ID No. 1 which allows the expression of a gene of interest in the tissues of a plant, except in the maturing seed and in the dry seed.
- 7. An expression cassette, characterized in that it comprises a sequence of interest fused to a sequence comprising a promoter sequence as claimed in one of claims 1 to 3 and 5.
- 8. The expression cassette as claimed in claim 7, characterized in that the sequence of interest encodes an RNA, a protein or a polypeptide which protects the plant against a biotic or abiotic stress, or which is involved in development, in particular in hormone metabolism, in signal transduction or in the control of the cell cycle.
- 9. The expression cassette as claimed in claim 7, which allows the cosuppression of a gene, characterized in that said sequence of interest encodes a protein or polypeptide capable of substituting for the function of an endogenous protein or polypeptide.
 - 10. The expression cassette as claimed in claim 7, characterized in that said sequence of interest encodes an antisense sequence directed against a target gene.

- 11. The expression cassette as claimed in claim 7, characterized in that said sequence of interest encodes an enzyme involved in the production of metabolites by a plant.
- 12. A vector comprising an expression cassette as claimed in one of claims 7 to 10.

- 13. A plant cell transformed with a cassette as
 10 claimed in one of claims 7 to 10 or a vector as
 claimed in claim 12.
- 14. A plant transformation kit comprising a cassette as claimed in one of claims 7 to 10 or a vector as claimed in claim 12.
- 15. A method for preparing transgenic plants in which a gene of interest is expressed in all the tissues except in the maturing seed and in the dry seed, characterized in that it comprises the following steps:
 - a) transferring a cassette as claimed in one of claims 7 to 10 or a vector as claimed in claim 12 into plant cells,
- 25 b) culturing the transformed cells obtained in step a) so as to obtain said transgenic plants.
- 16. The method as claimed in claim 15, characterized in that the cells are chosen from embryonic cells originating from an immature embryo.
- 17. The method as claimed in either of claims 15 and 16, characterized in that the transfer is carried out using Agrobacterium, preferably Agrobacterium.tumefaciens.
 - 18. A transgenic plant which can be obtained by carrying out the method as claimed in one of claims 15 to 17.

- 19. The plant as claimed in claim 18, characterized in that it expresses in its tissues, except in the mature and dry seeds, an RNA, an antisense sequence directed against a target gene.
- 20. The plant as claimed in claim 18, characterized in that it expresses in its tissues, except in the mature and dry seeds, an RNA, a protein or a polypeptide capable of substituting for the function of an endogenous protein or polypeptide.

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- 21. The plant as claimed in claim 18, characterized in that it expresses a protein of interest under the control of a promoter other than the promoter of the FAH gene, and an antisense sequence capable of inhibiting the expression of said protein of interest under the control of the promoter of the FAH gene, such that the protein of interest is expressed only in the seeds.
- The plant as claimed in claim 18, characterized in 22. that it expresses in its tissues, except in the mature and dry seeds, a coding sequence for a the biosynthesis of in involved protein metabolites, for a protein or a polypeptide which 25 protects the plant against a biotic or abiotic which controls protein for а stress, or development, in particular [lacuna] in hormone metabolism, in signal transduction or control of the cell cycle. 30
 - 23. The plant as claimed in one of claims 18 to 22, characterized in that it is chosen in particular from rapeseed, crucifers, maize, soybean, wheat, sunflower, pea, ornamental plants, and trees.
 - 24. A seed obtained from a transgenic plant as claimed in one of claims 18 to 23, characterized in that

it does not contain the product of expression of the transgene.

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- Avant l'expiration du délai prévu pour la modification des revendications, sera republiée si des modifications sont reçues.

En ce qui concerne les codes à deux lettres et autres abréviations, se référer aux "Notes explicatives relatives aux codes et abréviations" figurant au début de chaque numéro ordinaire de la Gazette du PCT.

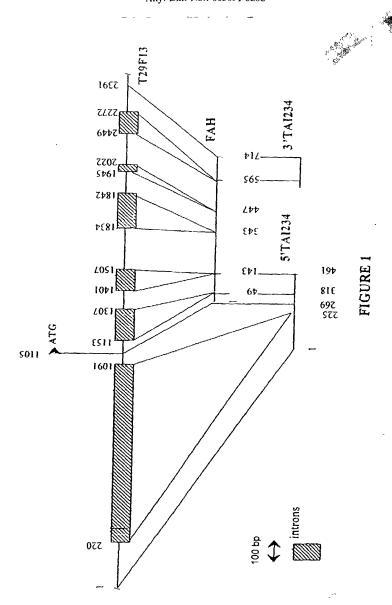
- (54) Title: PROMOTER ENABLING TRANSGENE EXPRESSION IN THE WHOLE PLANT EXCEPT IN THE SEED
- (54) Titre: PROMOTEUR PERMETTANT L'EXPRESSION DE TRANSGENES DANS TOUTE LA PLANTE HORMIS DANS LA GRAINE
- (57) Abstract: The invention concerns the isolation and characterisation of a promoter enabling transgene expression in the adult plant, in view of improving the plant development or protecting it against biotic or abiotic stresses, without allowing the transgene product to be present in the mature and dry seed. The invention also concerns transgenic plants comprising a gene of interest fused to said promoter sequence.
- (57) Abrégé: La présente invention concerne l'isolement et la caractérisation d'un promoteur qui permet l'expression de transgènes dans la plante adulte, à des fins d'amélioration du développement de la plante ou de sa protection contre des stress biotiques ou abiotiques, sans que le produit de ce transgène soit présent dans la graine mature et sèche. L'invention a également trait aux plantes transgéniques comportant un gène d'intérêt fusionné à ladite séquence promotrice.

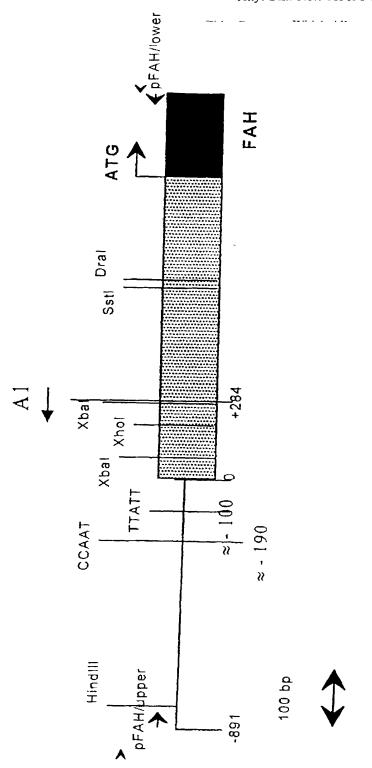


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Title: Promoter Which Allows Transgene Expression in the Entire Plant Except the Seed

Seed
Inventor(s): Bertrand DUBREUCQ et al.
Atty. Dkt. No.: 065691-0262

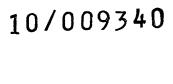


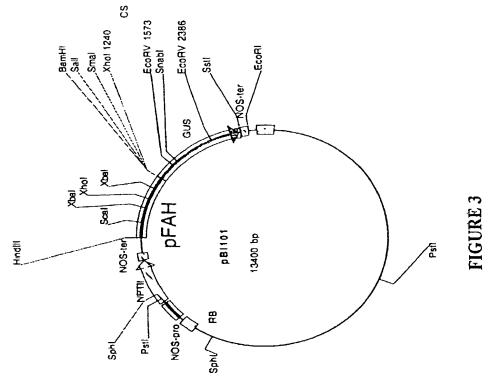


Title: Promoter Which Allows Uransgene 3 4 7 1 1 1 1 Expression in the Entire Plant Except the

Seed

Inventor(s): Bertrand DUBREUCQ et al. Atty. Dkt. No.: 065691-0262





DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PROMOTER WHICH ALLOWS TRANSGENE EXPRESSION IN THE ENTIRE PLANT EXCEPT IN THE SEED the specification of which is attached hereto unless the following box is checked:

| was filed on JUNE 08, 2000 | as Wwitesh States who phisosians Namikes sor PCT Internat | ional Application |
|----------------------------|---|-------------------|
| Number PCT/FR00/01574 | and was amended on | (if applicable). |

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

| NUMBER | COUNTRY | DAY/MONTH/YEAR FILED | PRIORITY CLAIMED |
|------------|---------|----------------------|---------------------|
| FR99 07362 | FRANCE | JUNE 10, 1999 | YES |
| | | | |
| | | | |

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

| APPLICATION NO. | FILING DATE |
|-----------------|-------------|
| | |
| , | |
| | |
| | |

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

| 00 0000 | |
|-------------|---------|
| ne 08, 2000 | Pending |
| | |
| | |
| | |
| | |

I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Stephen A. Bent, Reg. No. 29,768; David A. Blumenthal, Reg. No. 26,257; William T. Ellis, Reg. No. 26,874; John J. Feldhaus, Reg. No. 28,822; Patricia D. Granados, Reg. No. 33,683; John P. Isacson, Reg. No. 33,715; Donald D. Jeffery, Reg. No. 19,980; Eugene M. Lee, Reg. No. 32,039; Richard Linn, Reg. No. 25,144; Peter G. Mack, Reg. No. 26,001; Brian J. McNamara, Reg. No. 32,789; Sybit Meloy, Reg. No. 22,749; George E. Quillin, Reg. No. 32,792; Colin G. Sandercock, Reg. No. 31,298; Bernhard D. Saxe, Reg. No. 28,665; Charles F. Schill, Reg. No. 27,590; Richard L. Schwaab, Reg. No. 25,479; Arthur Schwartz, Reg. No. 22,115; Harold C. Wegner, Reg. No. 25,258.

Address all correspondence to FOLEY & LARDNER, Washington Harbour, 3000 K Street, N.W., Suite 500, P.O. Box 25696, Washington, D.C. 20007-8696. Address telephone communications to _________at (202) 672-5300. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Full Name of First or Sole Inventor Signature of First or Sole Inventor 3º0EC. 2001 -DUBREUCQ <u>Bernard</u> Residence Address Country of Citizenship FRX PARIS / FRANCE FR Post Office Address 6, rue Fourcade 75015 PARIS / FRANCE Full Name of Second Inventor Signature of Second Inventor DEC 20hi LEPINIEC Loic Résidence Address Country of Citizenship BURES-SUR-YVETTE / FRANCE FRX FR Post Office Address 2C Rue E. Herriot 91440 BURES-SUR-YVETTE / FRANCE Full Name of Third Inventor Signature of Third Inventor Date CABOCHE Michel DEC. 2hng Residence Address Country of Citizenship FRX MAUREPAS / FRANCE Post Office Address 5, Rue du Thimerais 78310 MAUREPAS / FRANCE Full Name of Fourth Inventor Signature of Fourth Inventor Date Residence Address Country of Citizenship Post Office Address Full Name of Fifth Inventor Signature of Fifth Inventor Date Residence Address Country of Citizenship Post Office Address

Atty. Docket No: 065691-0262

In re patent application of

DUBREUCQ, BERTRAND et al.

Serial No. 10/009,340

Filed: December 20, 2001

For: PROMOTER ENABLING TRANSGENE EXPRESSION IN THE WHOLE PLANT EXCEPT IN

THE SEED

STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825

Assistant Commissioner for Patents Washington, D.C. 20231
Box SEQUENCE

Sir:

In connection with a Sequence Listing submitted concurrently herewith, the undersigned hereby states that:

- the submission, filed herewith in accordance with 37
 C.F.R. § 1.821(g), does not include new matter;
- 2. the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same; and
- 3. all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United

Serial No. 10/009,340

States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Respectfully submitted,

James A. Coburn

HARBOR CONSULTING

Intellectual Property Services 1500A Lafayette Road Suite 262 Portsmouth, N.H. 800-318-3021

ROC'O PCT/PTO 1 6 MAY 2002

1

SEQUENCE LISTING

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3

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